FÒRM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NO. NL 031156

U.S. Application No. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION	NO.
DCT//D2002/004224	

INTERNATIONAL FILING DATE September 25, 2003

September 26, 2002

TITLE OF INVENTION

METHOD AND DEVICE FOR RECORDING INFORMATION ON A RECORD MEDIUM, RECORD MEDIUM CONTAINING INFORMATION, AND METHOD AND DEVICE FOR READING INFORMATION FROM A RECORD MEDIUM

APPLICANT(S) FOR DO/EO/US

Wilhelmus Jacobus VAN GESTEL; Declan Patrick KELLY

Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the	followin	ng items and other i	nformation
--	----------	----------------------	------------

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and
- A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. []
- 5. [X] copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [X] has been transmitted by the International Bureau.
 - is not required, as the application was filed in the United States Receiving Office (RO/US).
- A translation of the International Application into English (35 U.S.C. 371(c)(2)) 6. []
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - are transmitted herewith (required only if not transmitted by the International Bureau). a. []
 - have been transmitted by the International Bureau. b. []
 - have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [X] have not been made and will not be made.
- A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 8. []
- 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10.[]

Items 11. to 16. below concern document(s) or information included:

- 11. [] An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- 12. [X] An assignment document for recording. A separate cover sheet is compliance with 37 C.F.R. 3.28 and 3.31 is included.
- 13. [] A FIRST preliminary amendment.
 - A SECOND OR SUBSEQUENT preliminary amendment.
- 14. [] A substitute specification.
- 15. [] A change of power of attorney and/or address letter.
- 16. [X] Other items or information:
 - Power of Attorney to Prosecute Application Before the USPTO [PTO/SB/80]
 - Statement under 37 CFR 3.73(b) [PTO/SB/96]
 - Authorization Pursuant to 37 CFR § 1.136(a)(3) and to Charge Deposit Account

CERTIFICATE OF MAILING

[X] Express Mail Mailing Label No. EV 66485 2254- US

Date of Deposit 3-23-05

I hereby certify that this paper and fee is being deposited with the United States Postal Service "Express Mail Post---Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

> Jeanne Rusciano Typed Name

ignature

U.S. APPLICATION N	CATION NO (FIXEWITS SECTION NO. PCT //B2003/004221			ATTORNEY'S DOCKET NUMBER NL 031156				
17 [X] The following	fees are submitted:	CALCULATIONS (PTO USE ONLY)						
BASIC NATIONAL FE	E (37 C.F.R. 1.492(A)(1)-(5							
Search Re	port has been prepared b	y the El	PO or JPO	\$1000.00				
International preliminary-examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00								
No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2) \$750.00								
Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$970.00								
International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 96.00								
	ENTER APPROPRIATE E	BASIC F	EE AMOUNT =		\$ 1000.00			
Surcharge of \$130.00 from the earliest clain	for furnishing the oath on med priority date (37 C.F.F	r declar R. 1.492	ation later than ((e)).	[] 20 [] 30 months	\$			
CLAIMS	NUMBER FILED	NUMB	ER EXTRA	RATE				
Total Claims	21 - 20 =	1		X \$ 50.00	\$ 50.00			
Independent claims	3 - 3 =	0		X \$ 200.00	\$ 0.00			
MULTIPLE DEPENDE applicable)	MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$ 360.00	\$ 360.00			
	TOTAL OF A	SOVE C	ALCULATIONS	=	\$ 410.00			
Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)					\$			
SUBTOTAL =					\$ 1410.00			
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					\$			
TOTAL NATIONAL FEE =					\$ 1410.00			
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31). \$40.00 per property +					\$ 40.00			
			TOTAL FEES E	NCLOSED =	\$ 1450.00			
					Amount to be Refunded	\$		
					Charged	\$		
a. [] A check in the amount \$ to cover the above fees is enclosed.								
b. [X] Please charge my Deposit Account No <u>14-1270</u> in the amount of <u>\$1450.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. [X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. <u>14-1270</u> . A duplicate copy of this sheet is enclosed.								
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESP	PONDENCE TO:			Mulau	e Bell			
P.O. Box 3001	orth America Corporation	ì		Michael E. Belk (NAME)				
Briarcliff Manor, NY 10510 33,357 (REGISTRATION					IUMBER)			

NITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

WILHELMUS J. VAN GESTEL et al.

NL 031156

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

METHOD AND DEVICE FOR RECORDING INFORMATION ON A RECORD MEDIUM, RECORD MEDIUM CONTAINING INFORMATION, AND METHOD AND DEVICE FOR READING INFORMATION FROM A RECORD MEDIUM

Commissioner for Patents Alexandria, VA 22313-1450

AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

By muchael & Belh

Michael E. Belk, Reg. 33,357

Attorney

(914) 333-9643